| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Application Number | | 10599892 | |
|--|----------------------------|-------|---------------|--|
| | Filing Date | | 2006-10-12 | |
| | First Named Inventor Aloke | | e K. Dutta | |
| | Art Unit | | 1626 | |
| (Not for Submission under or of K 1.00) | Examiner Name | Unkno | own | |
| | Attorney Docket Numb | er | WSU 0203 PUSA | |

| U.S.PATENTS | | | | | | | | Remove | | |
|--|------------|---|------------------------------|-----------------|---------------------------|---|---|--|--|----|
| Examiner Initial* | Cite No | Patent Number | Kind Code ¹ | Issue D |)ate | Name of Patentee or Applicant of cited Document | | Pages,Columns,Lines where Relevant Passages or Releva Figures Appear | | |
| | 1 | | | | | | | | | |
| If you wis | h to ac | dd additional U.S. Pater | | | | | | | Add | |
| U.S.PATENT APPLICATION PUBLICATIONS Remove | | | | | | | | | | |
| Examiner Initial* | Cite No | Publication Number | Kind Code ¹ | Publica Date | ition | of cited Document | | Releva | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear | |
| | 1 | | | | | | | | | |
| If you wis | h to ac | dd additional U.S. Publi | shed Ap | plication | citation | n information p | please click the Ade | d buttor | n. Add | |
| | | | | FOREIG | GN PAT | ENT DOCUM | ENTS | | Remove | |
| Examiner Initial* | Cite No | Foreign Document Number ³ | Country Code ² | | Kind Code ⁴ | Publication Date | Name of Patente Applicant of cited Document | e or | Pages,Columns,Line where Relevant Passages or Releva Figures Appear | T5 |
| | 1 | 0217286 | EP | | A2 | 1987-04-08 | Denko KK | | | |
| | 2 | 0417698 | EP | | A1 | 1991-03-20 | Hoeschst AG | | | |
| | 3 | 9850534 | WO | | A1 | 1998-11-12 | Smithkline Beechar | m | | |

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

| Application Number | | 10599892 | |
|------------------------|---------|---------------|--|
| Filing Date | | 2006-10-12 | |
| First Named Inventor | Aloke | K. Dutta | |
| Art Unit | | 1626 | |
| Examiner Name | Unknown | | |
| Attorney Docket Number | | WSU 0203 PUSA | |

| | 4 | 9925686 | WO | | 1998-11-17 | Teijin Ltd. | | | |
|---|--|---|----------------|----------|---------------|---------------------------|--------|---|--|
| If you wis | h to ac | dd additional Foreign Pa | atent Document | citation | information p | ease click the Add buttor | n Add | 1 | |
| | | | NON-PATEN | NT LITE | RATURE DO | CUMENTS | Remove | | |
| Examiner Initials* | Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | | | | | | | | |
| | 1 | [Pub55.pdf]: Zhang, S., et al., "Design, Synthesis, and Preliminary SAR Study of 3- and 6-Side Chain Extended Tetrahydro-Pyran Analogues of cis- and trans-(6-benzhydryl-piperidin-3-yl)-benzylamine," Bioorg. Med. Chem. 14, 3953-3966, 2006 | | | | | | | |
| | 2 | [Pub56.pdf]: Zhang, S., et al., "Discovery of Novel tri-substituted Asymmetric Pyran Derivatives, (2S, 4R, 5R)-2-benzhydryl-5-benzylamino-tetrahyddropyran-4-ol, Exhibiting Stereospecific Manner," J. Med. Chem. 48, 4962-4971, 2005 | | | | | | | |
| | 3 | English Abstract of JP Publ. No. 09-249566, 9-22-1997 (2 pgs.) | | | | | | | |
| | Prof. Arthur Cammers, University of Kentucky Outline Synthetic Organic Chemistry 535 Session 06 2007, online "http://www.chem.uky.edu/courses/che535/AC/2007.1S/06-2007-S-CHE535.pdf", pg. 57-65, 10-6-2007 | | | | | | | | |
| | 5 | Dalpozzo, R. et al., "Bartoli Indole Synthesis," Current Organic Chemistry, 2005, 9, 163-178 | | | | | | | |
| | 6 | Li, Jie Jack, "Name Reactions: A Collection of Detailed Reaction Mechanisms," Third Expanded Edition, Springer 2006, pp. 271-272 | | | | | | | |
| | 7 | Knochel, Paul et al., "Highly Functionalized Organomagnesium Reagents Prepared Through Haolen-Metal Exchange," Angew. Chem Int. Ed. 2003, 42, 4302-4320 | | | | | | | |
| | 8 | Hutchins, R.O. et al., "Selective Reductive Displacement of Alkyl Halides and Sulfonate Esters with Cyanoborohydride Reagents in Hexamethylphosphoramide," J. Org. Chem. 1977, 42, pp. 82-91 | | | | | | | |
| If you wish to add additional non-patent literature document citation information please click the Add button Add | | | | | | | | ı | |

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

| Application Number | | 10599892 | | |
|----------------------------|---------|---------------|--|--|
| Filing Date | | 2006-10-12 | | |
| First Named Inventor Aloke | | K. Dutta | | |
| Art Unit | | 1626 | | |
| Examiner Name | Unknown | | | |
| Attorney Docket Number | | WSU 0203 PUSA | | |

| EXAMINER SIGNATURE | | | | | | |
|---|--|-----------------|--|--|--|--|
| Examiner Signature | | Date Considered | | | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | |

¹ See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.